

APPLICATION NUMBER: 10/559,647  
FILING DATE: 07/31/2006  
FIRST NAMED INVENTOR: Rosanne M. Crooke  
ART UNIT: 1635  
EXAMINER NAME: Amy Hudson Bowman  
ATTORNEY DOCKET NUMBER: ISPH-0595USA  
TITLE: MODULATION OF APOLIPOPROTEIN  
(a) EXPRESSION

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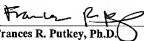
Under 37 C.F.R. §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98, enclosed herewith is PTO Form PTO/SB/08A and PTO/SB/08B listing references for consideration by the Examiner.

This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits, therefore it is believed that no fee is due. This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 C.F.R. § 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.

Respectfully Submitted,

  
\_\_\_\_\_  
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Dated: April 11, 2007

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Substitute for form 1442/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Complete if Known**

Application Number	10/559,847
Filing Date	07/31/2006
First Named Inventor	Rosanne M. Crooks
Art Unit	1635
Examiner Name	Amy Hudson Bowman
Attorney Docket Number	ISPH-0595USA

Sheet	1	of	4
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## U.S. PATENT DOCUMENTS

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Examiner Signature		Date Considered	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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## Complete If Known

Application Number 10/559,647  
Filing Date 07/31/2006  
First Named Inventor Rosanne M. Crooke  
Art Unit 1635  
Examiner Name Amy Hudson Bowman  
Attorney Docket Number ISPH-0595USA

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AQ	AGRAWAL, S., "Antisense oligonucleotides: towards clinical trials," <i>TIBTECH</i> (1996) 14:376-387.	
	AR	ANDERSON, L. et al., "A comparison of selected mRNA and protein abundances in human liver," <i>Electrophoresis</i> (1997) 18:533-537.	
	AS	BRAASCH, D. A. et al., "Novel Antisense and Peptide Nucleic Acid Strategies for Controlling Gene Expression," <i>Biochem.</i> (2002) 41(14):4503-4510.	
	AT	BRANCH, A. D., "A good antisense molecule is hard to find," <i>TIBS</i> (1998) 23:45-50.	
	AU	CALLOW, M. J. et al., "Expression of human apolipoprotein B and assembly of lipoprotein (a) in transgenic mice," <i>Proc. Natl. Acad. Sci. USA</i> (1994) 91:2130-2134.	
	AV	CHIESA, G. et al., "Reconstitution of Lipoprotein (a) by Infusion of Human Low Density Lipoprotein into Transgenic Mice Expressing Human Apolipoprotein (a)," <i>J. Biol. Chem.</i> (1992) 267(34):24369-24374.	
	AW	CHIN, A., "On Preparation and Utilization of Isolated and Purified Oligonucleotides," Katherine R. Everett Law Library of the University of North Carolina, March 14, 2002.	
	AX	DEVERRE, J.-R. et al., "A competitive enzyme hybridization assay for plasma determination of phosphodiester and phosphorothioate antisense oligonucleotides," <i>Nucleic Acids Res.</i> (1997) 25(18):3584-3589.	
	AY	DIAS, N. et al., "Potential roles of antisense oligonucleotides in cancer therapy. The example of bcl-2 antisense oligonucleotides," <i>Eur. J. Pharm. Biopharm.</i> (2002) 54:263-269.	
	AZ	FRANK, S. et al., "Adenovirus-mediated apo(a)-antisense-RNA expression efficiently inhibits apo(a) synthesis in vitro and in vivo," <i>Gene Therapy</i> (2001) 8:425-430.	
	BA	FRANK, S. et al., "The apolipoprotein(a) gene resides on human chromosome 6q26-27, in close proximity to the homologous gene for plasminogen," <i>Hum. Genet.</i> (1988) 79(4):352-356.	
	BB	FRITZ, H. et al., "Cationic Polystyrene Nanoparticles: Preparation and Characterization of a Model Drug Carrier System for Antisense Oligonucleotides," <i>J. Colloid Interface Sci.</i> (1997) 195:272-288.	
	BC	GEWIRTZ, A. M. et al., "Facilitating oligonucleotide delivery: Helping antisense deliver on its promise," <i>Proc. Natl. Acad. Sci. USA</i> (1996) 93:3161-3163.	

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Examiner Name	Amy Hudson Bowman
Attorney Docket Number	ISPH-0595USA

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BD	GRAINGER, D. J. et al., "Activation of transforming growth factor- $\beta$ is inhibited in transgenic apolipoprotein(a) mice," <i>Nature</i> (1994) 370:460-462.	
	BE	GREEN, D. W. et al., "Antisense Oligonucleotides: An Evolving Technology for the Modulation of Gene Expression in Human Disease," <i>J. Am. Coll. Surg.</i> (2000) 191:93-105.	
	BF	HAIJAR, K. A. et al., "The Role of Lipoprotein(a) in Atherogenesis and Thrombosis," <i>Ann. Rev. Med.</i> (1996) 47:423-442.	
	BG	JEN, K.-Y. et al., "Suppression of Gene Expression by Targeted Disruption of Messenger RNA: Available Options and Current Strategies," <i>Stem Cells</i> (2000) 18:307-319.	
	BH	KATAN, M. B. et al., "Characteristics of Human Hypo- and Hyperresponders to Dietary Cholesterol," <i>Am. J. Epidemiology</i> (1987) 125(3):387-399.	
	BI	KOSTNER, K. M. et al., "Lipoprotein(a)s still an enigma?" <i>Current Opinion in Lipidology</i> (2002) 13:391-396.	
	BJ	LAWN, R. M. et al., "Atherogenesis in transgenic mice expressing human apolipoprotein(a)," <i>Nature</i> (1992) 360:670-672.	
	BK	MCLEAN, J. W. et al., "cDNA sequence of human apolipoprotein(a) is homologous to plasminogen," <i>Nature</i> (1987) 330:132-137.	
	BL	MILLIGAN, J. F. et al., "Current Concepts in Antisense Drug Design," <i>J. Med. Chem.</i> (1993) 36(14):1923-1927.	
	BM	MORISHITA, R. et al., "Novel Therapeutic Strategy for Atherosclerosis - Ribozyme Oligonucleotides Against Apolipoprotein(a) Selectively Inhibit Apolipoprotein(a) But Not Plasminogen Gene Expression," <i>Circulation</i> (1998) 98:1898-1904.	
	BN	NOWAK-GÖTTL, U. et al., "Lipoprotein (a): Its Role in Childhood Thromboembolism," <i>Pediatrics</i> (1997) 99(6):1-3.	
	BO	OHMACHI, T. et al., "The virtues of self-binding: high sequence specificity for RNA cleavage by self-processed hammerhead ribozymes," <i>Nucleic Acids Res.</i> (2000) 28(3):776-783.	
	BP	OPALINSKA, J. B. et al., "Nucleic-Acid Therapeutics: Basic Principles and Recent Applications," <i>Nature Reviews Drug Discovery</i> (2002) 1:503-514.	

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	BQ	PROSNYAK, M. I. et al., "Substitution of 2-Aminoadenine and 5-Methylcytosine for Adenine and Cytosine in Hybridization Probes Increases the Sensitivity of DNA Fingerprinting," <i>Genomics</i> (1994) 21:490-494.	
	BR	RAINWATER, D. L. et al., "Lipoprotein Lp(a): Effects of Allelic Variation at the LPA Locus," <i>J. Exp. Zoology</i> (1998) 282:54-61.	
	BS	SANDKAMP, M. et al., "Lipoprotein(a) is an Independent Risk Factor for Myocardial Infarction at a Young Age," <i>Clin. Chem.</i> (1990) 36(1):20-23.	
	BT	SEED, M. et al., "Relation of Serum Lipoprotein(a) Concentration and Apolipoprotein(a) Phenotype to Coronary Heart Disease in Patients with Familial Hypercholesterolemia," <i>New Engl. J. Med.</i> (1990) 322:1494-1499.	
	BU	SKERRA, A., "Phosphorothioate primers improve the amplification of DNA sequences by DNA polymerase with proofreading activity," <i>Nucleic Acids Res.</i> (1992) 20(14):3551-3554.	
	BV	TAMM, I. et al., "Antisense therapy in oncology: new hope for an old idea?" <i>The Lancet</i> (2001) 358:489-497.	
	BW	VESSBY, B. et al., "Diverging Effects of Cholestyramine on Apolipoprotein B and Lipoprotein Lp(a)," <i>Atherosclerosis</i> (1982) 44:61-71.	
	BX	WEINTRAUB, H. M., "Antisense RNA and DNA," <i>Scientific American</i> (1990) 40-46.	
	BY	YANG, Y. et al., "Transforming Growth Factor- $\beta$ 1 Inhibits Human Keratinocyte Proliferation by Upregulation of a Receptor-Type Tyrosine Phosphatase R-PTP- $\kappa$ Gene Expression," <i>Biochem. Biophys. Res. Commun.</i> (1996) 228:807-812.	

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